THE FINANCIAL SERVICES INSURANCE PROGRAM

SUPPLEMENTAL FINANCIAL CRISIS QUESTIONS

INSTRUCTIONS: Print ***Applicant's*** name below. Please provide complete explanations to any "Yes" answers below. Sign and date the supplemental application.

Applicant:
1. Has ***Applicant*** sold or have ***Applicant's'*** clients invested in auction rate securities? If yes, how much? Have ***Applicant's*** clients experienced difficulties redeeming them due to current market conditions? How much remains unredeemed?
2. Have ***Applicant's*** clients experienced liquidity issues or other problems with any Reserve Management mutual funds? If yes, how much of ***Applicant*** client's funds remained unredeemed? How much money have ***Applicant*** clients lost?
3. Has ***Applicant*** sold or have ***Applicant's'*** clients invested in Oppenheimer Champion Income Fund, Evergreen Ultra-Short Opportunities Fund, Schwab Yield Plus Fund, Lehman Brothers structured notes or other securities with substantial loses due to sub prime mortgages or the recent credit crises? If yes, how much did ***Applicant's*** clients invest? How much did they lose?
4. Has ***Applicant*** sold or have ***Applicant's'*** clients invested in securities backed by sub prime mortgages or other investments now experiencing difficulties (e.g. CMO's, CDO's) due to current market conditions? If yes, how much did ***Applicant's*** clients invest? How much did they lose?
5. Has ***Applicant*** sold or have ***Applicant's'*** clients invested in any limited partnerships, REIT's or 1031 exchanges, or other alternative investments that have become insolvent, gone into bankruptcy, admitted financial difficulties, or admitted to difficulties in making distributions (e.g. DBSI or Provident)? If yes, how much did ***Applicant's*** clients invest? How much did they lose?
6. Has ***Applicant*** sold or have ***Applicant's'*** clients invested in any products originated by Bernald L. Madoff , whether directly or via fund of fund originators such as Fairfield Greenwich Advisors, Tremont Capital Management or Maxam Capital Management? Or by Robert A. Stanford, Stanford International Bank, Stanford Group Company and Stanford Capital; or by Marc Drier or James Nicolson? Or by Mark Bloom or North Hills Fund; or by Paul Greenwood, Stephen Walsh, WG Trading Company, WG Trading Investors Co., or Westridge Capital Management, Inc? If yes, how much did clients invest? How much did they lose?
Additional information relating to the above questions:

Date: _____ Signed: _____